

# Context-driven dialogue act generation

Volha Petukhova and Harry Bunt  
Tilburg Center for Creative Computing  
Tilburg University, The Netherlands  
{v.petukhova,harry.bunt}@uvt.nl

## 1 Introduction

Generation of dialogue contributions is a matter of deciding which dialogue act(-s) are licensed by the preceding and current context. This paper presents a context-driven approach to the generation of multiple dialogue acts.

The theoretical framework of Dynamic Interpretation Theory (DIT) opens perspectives for developing dialogue act generators that produce utterances which are multifunctional by design by viewing participation in a dialogue as performing several activities in parallel, such as pursuing the dialogue task, providing feedback, and taking turns (Bunt, 2000).

## 2 Multidimensional context model

An utterance, when understood as a dialogue act with a certain communicative function and semantic content, evokes certain changes in the participant's context model that includes (1) his beliefs about the dialogue task/domain (*semantic context*); (2) his model of the participants' states of processing (*cognitive context*); (3) assumptions about available perceptual channels (*physical context*); (4) beliefs about communicative obligations and constraints (*social context*); (5) a model of the preceding and planned dialogue contributions (*linguistic context*).

## 3 Context update mechanisms

As a dialogue evolves, new beliefs are *created*; weak beliefs may become *strengthened* to firm beliefs; and beliefs and goals may be *adopted* or *cancelled* (Bunt, 2005).

Speakers normally expect to be understood and believed (*expected effects*). This is modelled in DIT by the speaker having 'weak belief' that the addressee believes the preconditions to hold (*understanding effects*) and the content of the dialogue act to be true (*adoption effects*). Every di-

alogue builds up a pressure on the addressee to provide evidence in support of or against these expectations.

A *reactive pressure* (RP) is created when a dialogue act is interpreted successfully, giving rise to the intended update of the addressee's context model. The addressee is assumed to strive to resolve RPs by performing a particular type of reactive act. Table 1 illustrates this for the example of a Question - Answer pair. The created pressures  $RP_1$ ,  $RP_2$  and  $RP_3$  give rise to multiple reactive acts: a Turn Accepting act, a Feedback act, and a task-related Propositional Answer.

Participants are not always able to resolve pressures, e.g. the addressee may not know the answer. This cancels the relevant pressure created by the previous question immediately. Some pressures cannot be resolved in one turn, e.g. the addressee does not understand the question. In this case the pressure  $PR_3$  cannot be relieved, consequently neither can pressure  $PR_2$  also, because this implies  $PR_3$ . These pressures remain present until the addressee resolves the pressure  $PR_3$ , e.g. by successful processing of the repeated question.

## 4 Conclusions

The context-driven approach outlined here enables the construction of genuinely multifunctional dialogue contributions, and allows dialogue systems to apply a variety of dialogue strategies and communication styles, e.g. performing explicit vs implicit dialogue acts making use of different modalities.

## References

- Harry Bunt. 2000. *Dialogue pragmatics and context specification*. H. Bunt and W. Black (eds.), *Abduction, Belief and Context in Dialogue*. Amsterdam: Benjamins, 81-150.
- Harry Bunt. 2005. *Mechanisms for creating and updating beliefs and goals through dialogue*. Unpublished report, Tilburg University.

**Table 1:** Example of updated context for Propositional Question-Propositional Answer pair  
 LC = Linguistic Context; SC = Semantic Context; CC = Cognitive Context; prec = preconditions;  
 impl = by implication; du = dialogue utterance; da = dialogue act; fs = functional segment;  
 exp.und = expected understanding; und = understanding; exp.ad = expected adoption; ad = adoption;  
 bel = believes; mbel = mutually believed; wbel = weakly believes

Context	num	source	S's context	num	source	U's context
SC				u01 u02	prec	$wants(U, knowsif(U, p))$ $believes(U, knowsif(S, p))$
LC				du1	U	Is this a large sample?
LC				$fs_1$ $da_1$  $da_2$	current   impl  plan	is, this, a, large, sample Task; PropositionalQuestion Speaker:U; Addressee:S Turn-M.; Turn-Assign Speaker:U; Addressee:S Turn Allocation(S)
SC	s1 s2 s3 s4 s01	exp.und:u01 exp.und:u02 und:u1 und:u2 prec	$bel(S, mbel(\{S, U\}, wbel(U, bel(S, wants(U, knowsif(U, p))))$ $bel(S, mbel(\{S, U\}, wbel(U, bel(S, bel(U, knowsif(S, p))))$ $bel(S, wants(U, knowsif(U, p)))$ $bel(S, bel(U, knowif(S, p)))$ $believes(S, \neg p)$	u1 u2	exp.und:u01 exp.und:u02	$bel(U, mbel(\{S, U\}, wbel(U, bel(S, wants(U, knowsif(U, p))))$ $bel(U, mbel(\{S, U\}, wbel(U, bel(S, bel(U, knowsif(S, p))))$
CC	s5	und:u3	$believes(S, + Interpreted(S, fs_1))$	u3	exp.und:fs <sub>1</sub>	$wbel(U, + Interpreted(S, fs_1))$
SocC	RP <sub>1</sub> RP <sub>2</sub> RP <sub>3</sub>	prec:u01-u02 impl:da <sub>2</sub> exp.und:u1-u3	Task; PropositionalAnswer Speaker:S; Addressee:U antecedent: da <sub>1</sub> Turn-M.; Turn-Accept Speaker:S; Addressee:U antecedent: da <sub>2</sub> Auto-F.; Interpretation Speaker:S; Addressee:U antecedent: fs <sub>1</sub>			
LC	da <sub>3</sub> da <sub>4</sub> da <sub>5</sub>	plan	Turn Accept Speaker:S; Addressee:U antecedent: da <sub>2</sub> Auto-F.; Interpretation Speaker:S; Addressee:U antecedent: fs <sub>1</sub> Task; PropositionalAnswer Speaker:S; Addressee:U antecedent: da <sub>1</sub>			
LC	du2	S	Well, this is not large sample			
LC	$fs_2$ da <sub>3</sub>  $fs_3$ da <sub>4</sub>  $fs_4$ da <sub>5</sub>	current	well Turn Accept Speaker:S; Addressee:U antecedent: da <sub>2</sub> this, is, large, sample Auto-F.; Pos. Interpretation Speaker:S; Addressee:U antecedent: fs <sub>1</sub> this, is, not, large, sample Task; PropositionalAnswer Speaker:S; Addressee:U antecedent: da <sub>1</sub>			
SC	s6 s7	exp.und exp.ad	$bel(S, mbel(\{S, U\}, wbel(S, bel(U, bel(S, \neg p))))$ $bel(S, mbel(\{S, U\}, wbel(S, bel(U, \neg p)))$	u4 u5 u6 u7	exp.und exp.ad und:s6 ad:s7	$bel(U, mbel(\{S, U\}, wbel(S, bel(U, bel(S, \neg p))))$ $bel(U, mbel(\{S, U\}, wbel(S, bel(U, \neg p)))$ $bel(U, bel(S, \neg p))$ $bel(U, \neg p)$
SocC	RP <sub>1</sub> RP <sub>2</sub> RP <sub>3</sub>		cancelled cancelled cancelled	RP <sub>4</sub> RP <sub>5</sub>	exp.und:s6 exp.ad:s7	Auto-F.; Interpretation Speaker:S; Addressee:U antecedent: fs <sub>2</sub> , fs <sub>3</sub> , fs <sub>4</sub> Auto-F.; Execution Speaker:S; Addressee:U antecedent: da <sub>5</sub>